

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306

In re Application of Richard Friesen SERIAL NO: 09/651,301 FILED: August 30, 2000

FOR: USER INTERFACE FOR SEMI-

FUNGIBLE TRADING

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Office of the Director DECISION ON Group 3600 REQUEST FOR WITHDRAWAL OF **ATTORNEY**

This is a decision on the request filed on October 17, 2002, under 37 CFR 1.36 and MPEP 402.06, requesting permission to withdraw as the attorney of record in the aboveidentified application.

The request is **NOT APPROVED**.

Under 37 CFR 1.36 an attorney may withdraw only upon application to and approval by the Commissioner. It should be noted that a withdrawal is effective when approved, not when filed. Besides giving due notice to his or her client and delivering to the client all papers and property to which the client is entitled as specified under 37 CFR 10.40, approval of such a request required that the following conditions be met:

- A) Each attorney of record must sign the notice of withdrawal, or the notice must contain a clear indication of one attorney signing on behalf of another, because the Office does not recognize law firms.
- B) A proper reason for the withdrawal as enumerated in 37 CFR 10.40(b) or subsection (1)-(6) of 37 CFR 10.40(c) must be provided; and
- C) If withdrawal is requested in accordance with 37 CFR 10.40© above, there must be at least 30 days between approval of the withdrawal and the later of the expiration date of a time period for reply or the expiration date of the period which can be obtained by a petition and fee for extension of time under 37 CFR 1.136(a).

The request to withdraw as attorney is not accepted in the above-identified application because the request lacks conditions (A) and (B) above.

As to condition (A), the request is signed by an attorney who has not been given power of attorney.

As to condition (B), the reason for withdrawal is based on an assignee's instructions. However, power of attorney was given by the inventors, who are assumed to be the client unless a statement under 37CFR3.73(b) or a copy of the actual assignment is filed.

Kenneth J. Dorner

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Rjb:2/5/03